

Quantum information theory and Reznick's Positivstellensatz

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Talk outline

Sums of squares and Reznick's Positivstellensatz

Polynomials vs. symmetric operators

The complex Positivstellensatz

Sums of squares and Reznick's Positivstellensatz

Hilbert's 17th problem

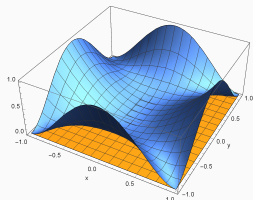
- $\mathbb{R}[x] \ni P(x) \geq 0 \iff P = Q_1(x)^2 + Q_2(x)^2$, for $Q_{1,2} \in \mathbb{R}[x]$
- $\text{Pos}(d, n) := \{P \in \mathbb{R}[x_1, \dots, x_d] \text{ hom. of deg. } 2n, P(x) \geq 0, \forall x\}$
- $\text{SOS}(d, n) := \{\sum_i Q_i^2 \text{ with } Q_i \in \mathbb{R}[x_1, \dots, x_d] \text{ hom. of deg. } n\}$
- Hilbert 1888:

$$\text{SOS}(d, n) \subseteq \text{Pos}(d, n), \text{ eq. iff } (d, n) \in \{(d, 1), (2, n), (3, 2)\}$$

- The Motzkin polynomial $x^4y^2 + y^4z^2 + z^4x^2 - 3x^2y^2z^2$ is positive but not SOS
- Membership in SOS can be **efficiently** decided with a semidefinite program (SDP) and provides an algebraic **certificate** for positivity

More on the Motzkin polynomial

The non-homogeneous Motzkin polynomial (set $z = 1$) $x^4y^2 + y^4 + x^2 - 3x^2y^2$ can be seen to be positive by the AMGM inequality



There exist computer algebra packages to check SOS and perform polynomial optimization using SOS ([NC]SOSTOOLS, Gloptipoly)

```
>> syms x y z; findsos(x^4*y^2 + y^4 + x^2 -  
3*x^2*y^2)
```

```
Size: 49 19
```

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...
```

```
No sum of squares decomposition is found.
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Reznick's Positivstellensatz

- Hilbert 1900, Artin 1927:

$$P \geq 0 \iff P = \sum_i \frac{Q_i^2}{R_i^2}$$

In particular, if $P \geq 0$, there exists R such that R^2P is SOS

Theorem. [Reznick 1995]

Let $P \in \text{Pos}(d, k)$ such that $m(P) := \min_{\|x\|=1} P(x) > 0$. Let also $M(P) := \max_{\|x\|=1} P(x)$. Then, for all

$$n \geq \frac{dk(2k-1)}{2 \ln 2} \frac{M(P)}{m(P)} - \frac{d}{2},$$

we have

$$(x_1^2 + \cdots + x_d^2)^{n-k} P(x) = \sum_{j=1}^r (a_1^{(j)} x_1 + \cdots + a_d^{(j)} x_d)^{2n}.$$

In particular, $\|x\|^{2(n-k)} P$ is SOS.

Polynomials vs. symmetric operators

From the symmetric subspace to polynomials — \mathbb{R}

- Homogeneous polynomials of degree n in d real variables x_1, \dots, x_d are in one-to-one correspondence with **symmetric tensors**:

$$V^n \mathbb{R}^d \ni v \rightsquigarrow P_v(x_1, \dots, x_d) = \langle x^{\otimes n}, v \rangle$$

where $x = (x_1, \dots, x_d)$ is the vector of variables

- $n = 1$, $P_v(x) = \sum_{i=1}^d v_i x_i$
- $|GHZ\rangle = |000\rangle + |111\rangle \rightsquigarrow P_{|GHZ\rangle}(x, y) = x^3 + y^3$
- $|W\rangle = |001\rangle + |010\rangle + |001\rangle \rightsquigarrow P_{|W\rangle}(x, y) = 3x^2y$
- If $|\Omega\rangle = \sum_{i=1}^d |ii\rangle$, then $P_{|\Omega\rangle^{\otimes n}}(x_1, \dots, x_d) = (\sum_{i=1}^d x_i^2)^n = \|x\|^{2n}$

- We denote $d[n] := \dim V^n \mathbb{R}^d = \binom{n+d-1}{n}$

From the symmetric subspace to polynomials — \mathbb{C}

- In the complex case, we are interested in **bi-homogeneous polynomials** of degree n in d complex variables: $P(z_1, \dots, z_d)$ is hom. in the variables z_i and also in \bar{z}_i .
- Bi-hom. polynomials are in one-to-one correspondence with operators on $\mathbb{V}^n \mathbb{C}^d$:

$$P(z_1, \dots, z_d) = \langle z^{\otimes n} | W | z^{\otimes n} \rangle$$

- Self-adjoint W are associated to real, bi-hom. polynomials
- $\|z\|^{2n} = \langle z^{\otimes n} | P_{sym}^{(d,n)} | z^{\otimes n} \rangle$
- More generally, polynomials which are bi-hom. of degree n in complex variables z_1, \dots, z_d and, separately, bi-hom. of degree k in complex variables u_1, \dots, u_D are in one-to-one correspondence with operators on $\mathbb{V}^n \mathbb{C}^d \otimes \mathbb{V}^k \mathbb{C}^D$:

$$Q(z_1, \dots, z_d, u_1, \dots, u_D) = \langle z^{\otimes n} \otimes u^{\otimes k} | W | z^{\otimes n} \otimes u^{\otimes k} \rangle$$

The different notions of positivity

A self-adjoint matrix $W \in \mathcal{B}(V^n \mathbb{C}^d)$ is called

- **block-positive** if $\langle z^{\otimes n} | W | z^{\otimes n} \rangle \geq 0, \forall z \in \mathbb{C}^d$
- **positive semidefinite** (PSD) if $\langle u | W | u \rangle \geq 0, \forall u \in V^n \mathbb{C}^d$
- **separable** if $W \in \text{conv}\{|z\rangle\langle z|^{\otimes n}\}_{z \in \mathbb{C}^d}$

We have: W separable $\implies W$ PSD $\implies W$ block-positive

- W is **block-positive** $\iff P_W$ is **non-negative**:

$$P_W(z) = \langle z^{\otimes n} | W | z^{\otimes n} \rangle \geq 0, \quad \forall z \in \mathbb{C}^d$$

- W is **PSD** $\iff P_W$ is **Sum Of hom. Squares**:

$$W = \sum_j \lambda_j |w_j\rangle\langle w_j| \implies P_W(z) = \sum_j \lambda_j |\langle z^{\otimes n}, w_j \rangle|^2$$

- W is **separable** $\iff P_W$ is **Sum Of hom. Powers**:

$$W = \sum_j t_j |a_j\rangle\langle a_j|^{\otimes n} \implies P_W(z) = \sum_j t_j |\langle z, a_j \rangle|^{2n}$$

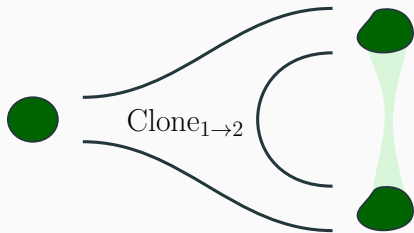
Tensoring with the identity

- For $k \leq n$, let $\text{Tr}_{k \rightarrow n}^* : \mathcal{B}(\vee^k \mathbb{C}^d) \rightarrow \mathcal{B}(\vee^n \mathbb{C}^d)$ be the map

$$\text{Tr}_{k \rightarrow n}^*(W) = P_{\text{sym}}^{(d,n)} \left[W \otimes I_d^{\otimes (n-k)} \right] P_{\text{sym}}^{(d,n)}$$

- We have: $P_{\text{Tr}_{k \rightarrow n}^*(W)}(z) = \|z\|^{2(n-k)} P_W(z)$

$\text{Clone}_{k \rightarrow n} := \frac{d[k]}{d[n]} \text{Tr}_{k \rightarrow n}^*$ is the optimal Keyl-Werner cloning quantum channel: among all quantum channels sending states $\rho^{\otimes k}$ to symmetric n -partite states σ , it is the one which achieves the largest fidelity between ρ and $\text{Tr}_{2 \dots n} \sigma$



The partial trace

For $k \leq n$, let $\text{Tr}_{n \rightarrow k} : \mathcal{B}(\vee^n \mathbb{C}^d) \rightarrow \mathcal{B}(\vee^k \mathbb{C}^d)$ be the **partial trace**

$$\text{Tr}_{n \rightarrow k}(W) = \left[\text{id}^{\otimes k} \otimes \text{Tr}^{\otimes (n-k)} \right] (W)$$

Lemma.

We have: $P_{\text{Tr}_{n \rightarrow k}(W)} = ((n)_{n-k})^{-2} \Delta_{\mathbb{C}}^{n-k} P_W$, where $(x)_p = x(x-1) \cdots (x-p+1)$ and $\Delta_{\mathbb{C}}$ is the **complex Laplacian**

$$\Delta_{\mathbb{C}} = \sum_{i=1}^d \frac{\partial^2}{\partial \bar{z}_i \partial z_i}$$

Lemma (complex Bernstein inequality). ← we need analysis here

For any $W = W^* \in \mathcal{B}(\vee^n \mathbb{C}^d)$ we have

$$\forall \|z\| \leq 1, \quad \left| (\Delta_{\mathbb{C}}^s P_W)(z) \right| \leq 4^{-s} (2d)^s (2n)_{2s} M(W)$$

The Dictionary

Sym. operators $\in \mathcal{B}(V^n \mathbb{C}^d)$

Polynomials (d vars, bi-hom. deg. n)

W

$$P_W(z) = \langle z^{\otimes n} | W | z^{\otimes n} \rangle$$

Positivity notions

block-positive

non-negative

positive semidefinite

Sum Of Squares

separable

Sum Of Powers

Operations

Tensor with identity

mult. with the norm²

Partial trace

complex Laplacian

The complex Positivstellensatz

A complex version of Reznick's PSS

Theorem.

Consider $W = W^* \in \mathcal{B}(\vee^k \mathbb{C}^d \otimes \mathbb{C}^D)$ with $m(W) > 0$ and $k \geq 1$. Then, for any

$$n \geq \frac{dk(2k-1)}{\ln\left(1 + \frac{m(W)}{M(W)}\right)} - k$$

with $n \geq k$, we have

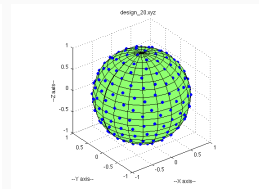
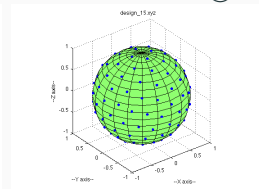
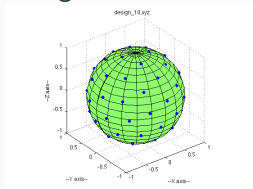
$$\|x\|^{2(n-k)} P_W(x, y) = \int P_{\tilde{W}}(\varphi, y) |\langle \varphi, x \rangle|^{2n} d\varphi$$

with $P_{\tilde{W}}(\varphi, y) \geq 0$ for all $\varphi \in \mathbb{C}^d$ and $y \in \mathbb{C}^D$, where $\tilde{W} \in \mathcal{B}(\vee^k \mathbb{C}^d \otimes \mathbb{C}^D)$ is explicitly computable in terms of W , and $d\varphi$ is any $(n+k)$ -spherical design. In the case $k=1$, the bound on n can be improved to $n \geq dM(W)/m(W) - 1$.

A similar result was obtained by To and Yeung with worse bounds and in a less general setting, by “complexifying” Reznick’s proof

Spherical designs

- A **complex n -spherical design in dimension d** is a probability measure $d\varphi$ on the unit sphere of \mathbb{C}^d which approximates the uniform measure dz in the following sense: for any degree n bi-hom. polynomial $P(z)$ in d complex variables,
$$\int P(\varphi)d\varphi = \int P(z)dz$$
- Equivalently, $\int |\varphi\rangle\langle\varphi|^{\otimes n}d\varphi = P_{sym}^{(d,n)} / d[n]$
- For all d, n , there exist **finite n -designs**: the measure $d\varphi$ has support of size $\leq (n+1)^{2d}$; in particular, the integral in the main theorem can be a finite sum
- Designs of orders 60, 120, 216 in \mathbb{R}^3 ©John Burkardt



Proof idea

$$\|x\|^{2(n-k)} P_W(x, y) = \int P_{\tilde{W}}(\varphi, y) |\langle \varphi, x \rangle|^{2n} d\varphi$$

- We want to transform a non-negative polynomial into a sum of powers by multiplying with some power of the norm
- In terms of operators, this amounts to transforming a block-positive operator into a separable operator
- Ansatz: use the **measure-and-prepare** map

$$\text{MP}_{n \rightarrow k} : \mathcal{B}(\vee^n \mathbb{C}^d) \rightarrow \mathcal{B}(\vee^k \mathbb{C}^d)$$

$$X \mapsto d[n] \int \langle \varphi^{\otimes n} | X | \varphi^{\otimes n} \rangle |\varphi\rangle \langle \varphi|^{\otimes k} d\varphi,$$

for some $(n+k)$ -spherical design $d\varphi$

- The linear map $\text{MP}_{n \rightarrow k}$ is completely positive, and it is normalized to be trace preserving (i.e. it is a **quantum channel**)

Chiribella's identity

Theorem [Chiribella 2010].

For any $k \leq n$, we have

$$\text{MP}_{n \rightarrow k} = \sum_{s=0}^k c(n, k, s) \text{Clone}_{s \rightarrow k} \circ \text{Tr}_{n \rightarrow s},$$

where $c(n, k, s) = \binom{n}{s} \binom{k+d-1}{k-s} / \binom{n+k+d-1}{k}$.

- $c(n, k, \cdot)$ is a probability distribution: $\sum_{s=0}^k c(n, k, s) = 1$
- The proof is a straightforward computation in the group algebra of $G = \mathcal{S}_{n+k}$:

$$\varepsilon_G = \sum_{s=0}^{\min(n,k)} \frac{\binom{n}{s} \binom{k}{s}}{\binom{n+k}{n}} \varepsilon_H \sigma_s \varepsilon_H$$

where ε_X is the average of the elements in X , $H = \mathcal{S}_n \times \mathcal{S}_k \leq G$ is a Young subgroup and σ_s is some permutation swapping s elements from $[1, n]$ with s elements from $[n+1, n+k]$

The result is about the interplay between Clone and MP

- The equality $\|x\|^{2(n-k)} P_W(x, y) = \int P_{\tilde{W}}(\varphi, y) |\langle \varphi, x \rangle|^{2n} d\varphi$ reads, in terms of linear maps over symmetric spaces

$$\text{Clone}_{k \rightarrow n} \otimes \text{id}_D = [\text{MP}_{k \rightarrow n} \circ \Psi] \otimes \text{id}_D$$

- The fact that the polynomial $P_{\tilde{W}}$ is non-negative reads

$$\tilde{W} := \Psi(W) \text{ is block-positive} \iff \langle z^{\otimes n} | \tilde{W} | z^{\otimes n} \rangle \geq 0$$

- Re-write the **Chiribella identity** as

$$\begin{aligned} \text{MP}_{n \rightarrow k} &= \sum_{s=0}^k c(n, k, s) \text{Clone}_{s \rightarrow k} \circ \text{Tr}_{n \rightarrow s} \\ &= \sum_{s=0}^k c(n, k, s) \text{Clone}_{s \rightarrow k} \circ \text{Tr}_{k \rightarrow s} \circ \text{Tr}_{n \rightarrow k} \\ &= \Phi_{k \rightarrow k}^{(n)} \circ \text{Tr}_{n \rightarrow k} \end{aligned}$$

Invert the Chiribella formula

- $\text{MP}_{n \rightarrow k} = \Phi_{k \rightarrow k}^{(n)} \circ \text{Tr}_{n \rightarrow k}$

Key fact.

The linear map $\Phi_{k \rightarrow k}^{(n)} : \mathbb{V}^k \mathbb{C}^d \rightarrow \mathbb{V}^k \mathbb{C}^d$ is **invertible**, with inverse

$$\Psi_{k \rightarrow k}^{(n)} := \sum_{s=0}^k q(n, k, s) \text{Clone}_{s \rightarrow k} \circ \text{Tr}_{k \rightarrow s}$$

with

$$q(n, k, s) := (-1)^{s+k} \frac{\binom{n+s}{s} \binom{k}{s}}{\binom{n}{k}} \frac{d[k]}{d[s]}$$

- Hence, up to some constants, $\text{Clone}_{k \rightarrow n} = \text{MP}_{k \rightarrow n} \circ \Psi_{k \rightarrow k}^{(n)}$
- Final step: use hypotheses on $n, k, m(W), M(W)$ to ensure $\Psi_{k \rightarrow k}^{(n)}(W)$ is block-positive whenever W is (strictly) block-positive

Use the Bernstein inequality to prove $P_{\tilde{W}}$ non-negative

- Assume, wlog, $D = 1$, i.e. there is no y

$$\begin{aligned} P_{\tilde{W}}(\varphi) &= \sum_{s=0}^k q(n, k, s) \langle \varphi^{\otimes k} | \text{Clone}_{s \rightarrow k} \circ \text{Tr}_{k \rightarrow s}(W) | \varphi^{\otimes k} \rangle \\ &= \sum_{s=0}^k q(n, k, s) \|\varphi\|^{2(k-s)} \langle \varphi^{\otimes s} | \text{Tr}_{k \rightarrow s}(W) | \varphi^{\otimes s} \rangle \\ &= \sum_{s=0}^k q(n, k, s) \|\varphi\|^{2(k-s)} P_{\text{Tr}_{k \rightarrow s}(W)}(\varphi) \\ &= \sum_{s=0}^k \hat{q}(n, k, s) \|\varphi\|^{2(k-s)} (\Delta_{\mathbb{C}}^{k-s} p_W)(\varphi) \end{aligned}$$

- Use the complex version of the **Bernstein inequality** to ensure that

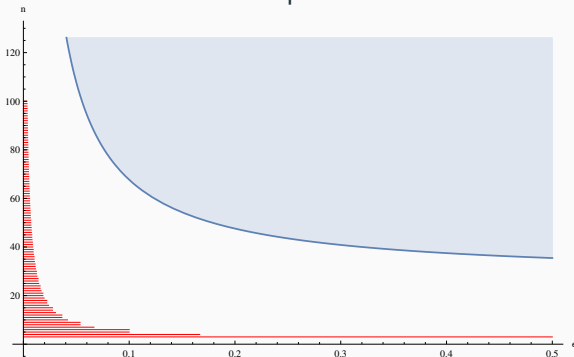
$$P_{\tilde{W}}(\varphi) \geq \left[m(W) \tilde{q}(n, k, k) - M(W) \sum_{s=0}^{k-1} |\tilde{q}(n, k, s)| \right] \geq 0$$

How good are the bounds?

- Consider the modified Motzkin polynomial

$$P_\varepsilon(x, y, z) = x^4 y^2 + y^4 z^2 + z^4 x^2 - 3x^2 y^2 z^2 + \varepsilon(x^2 + y^2 + z^2)$$

- We have $m(P_\varepsilon) = \varepsilon$; $M(P_\varepsilon) = \varepsilon + 4/27$
- Let $P_{n,\varepsilon}(x, y, z) := (x^2 + y^2 + z^2)^{n-3} P_\varepsilon(x, y, z)$. If a PSS decomposition holds, then the $[2p, 2q, 2r]$ coefficient of $P_{n,\varepsilon}$ must be positive \rightsquigarrow lower bound on optimal n



Thank you!

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